

§ 1.291: Protests by the public against pending applications

- (a) A protest may be filed by a member of the public against a pending application, and it will be matched with the application file if it adequately identifies the patent application. A protest submitted within the time frame of paragraph (b) of this section, which is not matched in a timely manner to permit review by the examiner during prosecution, due to inadequate identification, may not be entered and may be returned to the protestor where practical, or, if return is not practical, discarded.
- (b) The protest will be entered into the record of the application if, in addition to complying with paragraph (c) of this section, the protest has been served upon the applicant in accordance with § 1.248, or filed with the Office in duplicate in the event service is not possible; and, except for paragraph (b)(1) of this section, the protest was filed prior to the date the application was published under § 1.211, or a notice of allowance under § 1.311 was mailed, whichever occurs first:
- (1) If a protest is accompanied by the written consent of the applicant, the protest will be considered if the protest is ~~matched with the application in time to permit review during prosecution~~ filed before a notice of allowance under § 1.311 is given or mailed in the application.
 - (2) A statement must accompany a protest that it is the first protest submitted in the application by the real party in interest who is submitting the protest; or the protest must comply with paragraph (c)(5) of this section. This section does not apply to the first protest filed in an application.
- (c) In addition to compliance with paragraphs (a) and (b) of this section, a protest must include.
- (1) A listing of the patents, publication, or other information relied upon identifying
 - (i) Each U.S. patent by patent number, first named inventor, and issue date;
 - (ii) Each U.S. patent application publication by patent application publication number, first named inventor, and publication date;
 - (iii) Each foreign patent or published foreign patent application by the country or patent office that issued the patent or published the application, an appropriate document number, first named inventor, and the publication date indicated on the patent or published application;
 - (iv) Each printed publication is identified by publisher, author, title, pages being submitted, publication date, and place of publication, where available; and
 - (v) Each item of other information by date, if known.
 - (2) A concise explanation of the relevance of each item listed pursuant to paragraph (c)(1) of this section;
 - (3) A legible copy of each listed patent, publication, or other item of information in written form, or at least the pertinent portions thereof, other than U.S. patents and U.S. patent application publications, unless required by the Office;
 - (4) An English language translation of all the necessary and pertinent parts of any non-English language patent, publication, or other item of information relied upon; and
 - (5) If it is a second or subsequent protest by the same party in interest, an explanation as to why the issue(s) raised in the second or subsequent protest are significantly different than those raised earlier and why the significantly different issue(s) were not presented earlier, and a processing fee under § 1.17(i) must be submitted.
- (d) A member of the public filing a protest in an application under this section will not receive any communication from the Office relating to the protest, other than the return of a self-addressed postcard which the member of the public may include with the protest in order to receive an

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acknowledgement by the Office that the protest has been received. The limited involvement of the member of the public filing a protest pursuant to this section ends with the filing of the protest, and no further submission on behalf of the protestor will be considered, unless the submission is made pursuant to paragraph (c)(5) of this section.

- (e) Where a protest raising inequitable conduct issues satisfies the provisions of this section for entry, it will be entered into the application file, generally without comment on the inequitable conduct issues raised in it.
- (f) In the absence of a request by the Office, an applicant has no duty to, and need not, reply to a protest.
- (g) Protests that fail to comply with paragraphs (b) or (c) of this section may not be entered, and if not entered, will be returned to the protestor, or discarded, at the option of the Office.